

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: July 13, 2015 - 10:13 AM

Version 5.0

User Selection Criteria

Location:	New York, all activities	Activity Location:	None Chosen
Handler ID:	NYD981561046	Group of IDs:	None Chosen
Handler Name:			
Handler Universe:	All Facilities Regardless of Universe		
Determined Date Range:	From: 10/01/1980 To: 07/13/2015		
Location County Code:	None Chosen	Evaluation Type:	
Location City:		Focus Area:	
Location Zip Code:		Violation Type:	
State District:	None Chosen	Display Code Descrip.:	Yes
Sort Order:	Region, State, Handler Name	Display Universes:	Yes

Results

Data meeting the criteria you selected follows.

Total Pages:7 Total Handlers:1

Report Description

This report presents available information from the Resource Conservation and Recovery Act Information System (RCRAInfo) about compliance evaluations, violations, and enforcement actions meeting the criteria supplied by the user. Evaluations showing no violations does not always indicate that no violations were determined. Violation without enforcement actions does not always mean no enforcement action will be issued. In order to avoid releasing enforcement sensitive information to the public the following information is not shown on the report: pending civil / judicial referrals, criminal actions and referrals, and State to EPA referrals; all other enforcement actions are released.

Report Information

Name: cme_foia.rdf
Developed by: EPA Headquarters, Office of Enforcement and Compliance Assurance
Deployed: June 2006
Last Updated: May 2012
Contact: rcrainfo.help@epa.gov
Tables Used: cmecomp3, ccitation3, hreport_univ5, lu_citation, lu_state, hid_groups
Libraries: none

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FOREST LABORATORIES INC

County Name / Code: SUFFOLK / NY103

NYD981561046

Location: 49 MALL DR; COMMACK, NY 11725

REGION 02

Mailing: FOREST LABORATORIES INC; 500 COMMACK RD; COMMACK, NY 11725

Activity Location: NY	State District: NYSDEC R1	Accessibility:	Non-Notifier:	Extract Flag: Y	Active Site: Y
Generator: LQG	Transporter: N	Operating TSDF: -----	IC In Place: N	El Indicator (HE / GW): N / N	
Short-Term Gen: N	Transfer Facility: N	Offsite Receiver: N	HSM: N	Subpart K: ----	
Full Enforcement: -----	Converter: -----	State Unaddressed SNC: N	EPA Unaddressed SNC: N		
CA Wrld: N	State TSDF: -----	State Addressed SNC: N	EPA Addressed SNC: N		
Active State Gen: N		State SNC w/Comp Sched: N	EPA SNC w/Comp Sched: N		

Violation:	Activity Location: NY	Type: 262.C	Determined Date: 01/06/2009	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 01/06/2009	RTC Qualifier: OBSERVED	Sequence Number: 8
	Citation Information: Seq #	Type	Citation		
	1	STATE REGULATION	372.2(a)(8)(i)(a)		

CEI Evaluation 01/06/2009 Activity Location: NY By: State Identifier: 001 Person: NYTKS Branch: R1 Found Violation: YES
 Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 01/06/2009 Focus Area:

Enforcement: Activity Location: NY Type: 120 Action Date: 01/28/2009 Identifier: 001
 Docket: Agency: State Responsible Person: NYTKS Branch: R1
 CA Component: N Disposition Status: AS 01/28/09 Appeal Initiated: Appeal Resolved:

Violation:	Activity Location: NY	Type: XXS	Determined Date: 01/06/2009	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 01/08/2009	RTC Qualifier: DOCUMENTED	Sequence Number: 9
	Citation Information: Seq #	Type	Citation		
	2	STATE REGULATION	373-1.1(d)(1)(iii) and (iv)		

CEI Evaluation 01/06/2009 Activity Location: NY By: State Identifier: 001 Person: NYTKS Branch: R1 Found Violation: YES
 Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 01/06/2009 Focus Area:

Enforcement: Activity Location: NY Type: 120 Action Date: 01/28/2009 Identifier: 001
 Docket: Agency: State Responsible Person: NYTKS Branch: R1
 CA Component: N Disposition Status: AS 01/28/09 Appeal Initiated: Appeal Resolved:

Violation:	Activity Location: NY	Type: XXS	Determined Date: 01/06/2009	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 01/13/2009	RTC Qualifier: DOCUMENTED	Sequence Number: 10
	Citation Information: Seq #	Type	Citation		
	3	STATE REGULATION	372.2(b)(2)(ii)		

CEI Evaluation 01/06/2009 Activity Location: NY By: State Identifier: 001 Person: NYTKS Branch: R1 Found Violation: YES
 Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 01/06/2009 Focus Area:

Enforcement: Activity Location: NY Type: 120 Action Date: 01/28/2009 Identifier: 001
 Docket: Agency: State Responsible Person: NYTKS Branch: R1
 CA Component: N Disposition Status: AS 01/28/09 Appeal Initiated: Appeal Resolved:

* Note: Penalty amount may not reflect all violations cited.

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FOREST LABORATORIES INC, NYD981561046, COMMACK, NY, continued -

Violation:	Activity Location: NY	Type: 273.B	Determined Date: 01/06/2009	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 01/06/2009	RTC Qualifier: OBSERVED	Sequence Number: 11
	Citation Information: Seq #	Type	Citation		
	1	STATE REGULATION	374-3.2(f)		
CEI Evaluation	01/06/2009	Activity Location: NY	By: State	Identifier: 001	Person: NYTKS
	Citizen Complaint: NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 01/06/2009
					Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 01/28/2009	Identifier: 001	
	Docket:	Agency: State	Responsible Person: NYTKS	Branch: R1	
	CA Component: N	Disposition Status: AS	01/28/09	Appeal Initiated:	Appeal Resolved:
Violation:	Activity Location: NY	Type: 265.B	Determined Date: 01/06/2009	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 01/13/2009	RTC Qualifier: DOCUMENTED	Sequence Number: 12
	Citation Information: Seq #	Type	Citation		
	2	STATE REGULATION	373-3.2(g)(4)		
CEI Evaluation	01/06/2009	Activity Location: NY	By: State	Identifier: 001	Person: NYTKS
	Citizen Complaint: NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 01/06/2009
					Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 01/28/2009	Identifier: 001	
	Docket:	Agency: State	Responsible Person: NYTKS	Branch: R1	
	CA Component: N	Disposition Status: AS	01/28/09	Appeal Initiated:	Appeal Resolved:
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 05/18/2005	RTC Qualifier: OBSERVED	Sequence Number: 1
	Former Citation - SR - 373-3.2(g)(4)				
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL
	Citizen Complaint: NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001	
	Docket:	Agency: State	Responsible Person: NYPDL	Branch: R1	
	CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:	
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 2
	Former Citation - SR - 372.2(a)(8)(ii)				
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL
	Citizen Complaint: NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

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FOREST LABORATORIES INC, NYD981561046, COMMACK, NY, continued -

Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001			
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State		
Scheduled Compliance Date:		Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 3			
Former Citation - SR - 373-3.3(g)(1)							
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL	Branch: R1	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001			
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State		
Scheduled Compliance Date:		Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 4			
Former Citation - SR - 373-3.7(c)(1)							
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL	Branch: R1	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001			
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State		
Scheduled Compliance Date:		Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 5			
Former Citation - SR - 372.2(c)(3)							
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL	Branch: R1	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001			
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State		
Scheduled Compliance Date:		Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 6			
Former Citation - SR - 372.2(b)(2)(i),(ii)							
CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL	Branch: R1	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

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FOREST LABORATORIES INC, NYD981561046, COMMACK, NY, continued -

Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: NY	Type: 262.A	Determined Date: 05/18/2005	Determined by Agency: State	Responsible Agency: State
Scheduled Compliance Date:			Actual Compliance Date: 06/17/2005	RTC Qualifier: DOCUMENTED	Sequence Number: 7
Former Citation - SR - 373-3.4(d)(2)					

CEI Evaluation	05/18/2005	Activity Location: NY	By: State	Identifier: 001	Person: NYPDL	Branch: R1	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/17/2005	Identifier: 001
Docket:		Agency: State	Responsible Person: NYPDL	Branch: R1
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

Evaluations With No Violations:

CEI Evaluation	03/25/2011	Activity Location: NY	By: EPA	Identifier: 001	Person: R2MD	Branch: RCB	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 03/25/2011		Focus Area:
CEI Evaluation	08/12/1986	Activity Location: NY	By: State	Identifier: 001	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

Total Number of Handlers: 1

Total Number of Activity Locations: 1

*** End of Report ***

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Description of codes used on the report:

Universes	Description of Universes
Generator	Indicates that the facility is a Large Quantity Generator (LQG), Small Quantity Generator (SQG), Conditionally Exempt Small Quantity Generator (CEG), or not a generator (N).
Transporter	Indicates that the facility Transports waste subject to RCRA regulations. ('Y' indicates that the facility is in this universe).
Operating TSDF	Indicates that the facility is a Treatment, Storage or Disposal facility subject to any type of enforcement. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
IC in Place	Indicates that the facility has Institutional Controls in place. ('Y' indicates that the facility is in this universe).
EI Indicator (HE / GW)	Indicates that the facility has controls in place for Environmental Indicators. HE - Human Exposures ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist) GW - Groundwater Release ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist)
Short-Term Gen	Indicates that the facility is a short term or one time event generator and not generating from ongoing processes.
Transfer Facility	Indicates that the facility transfers hazardous waste.
Offsite Receiver	Indicates that the facility, whether public or private, currently accepts hazardous waste from another site (site identified by a different EPA ID).
HSM	Indicates that the facility manages hazardous secondary material(s) (e.g. spent material, by-product or sludge) that when discarded, would be identified as hazardous waste.
Subpart K	Indicates that the facility has opted into the subpart K laboratory rule. It then specifies the type of facility (C - College or University; H - Teaching Hospital; N - Non-profit Research Institute; W - withdrawal from the rule)
Full Enforcement	Indicates that the facility is a Treatment, Storage or Disposal facility which is part of the Full Enforcement universe. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
CA Workload	Indicates that the facility is part of the Corrective Action Workload universe. ('Y' indicates that the facility is in this universe).
Active State Gen	Indicates that the facility is an Active State Generator. ('Y' indicates that the facility is in this universe).
Converter	Indicates that the facility is a Converter Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State TSDF	Indicates that the facility is a State Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State Unaddressed SNC	Indicates that the facility is a State Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State Addressed SNC	Indicates that the facility is a State Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State SNC w/ Compl. Sched	Indicates that the facility is a State Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).
EPA Unaddressed SNC	Indicates that the facility is an EPA Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA Addressed SNC	Indicates that the facility is an EPA Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA SNC w/ Compl. Sched	Indicates that the facility is a EPA Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).

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Description of codes used on the report:

ACCESSIBILITY - indicates the reason why the handler is not accessible for normal RCRA tracking and processing (previously called Bankrupt Indicator):	
Code	Description
B	indicates that the handler has filed for bankruptcy and bankruptcy litigation is in process.
C	indicates that all RCRA responsibilities for permitting/closure, corrective action, and compliance monitoring and enforcement at the facility have been formally transferred to the CERCLA program or state equivalent.
F	indicates that all responsible parties (owners/operators) for the handler have fled the country or are otherwise not available for prosecution.
L	indicates that the handler's case is tied up in litigation to the extent that further progress in achieving RCRA compliance through normal enforcement is not possible.

NON-NOTIFIER - indicates that the handler has been identified through a source other than Notification and is suspected of conducting RCRA-regulated activities without proper authority:	
Code	Description
E	indicates that the handler was initially a non-notifier, subsequently determined to be exempt from requirements to notify.
O	indicates that the handler is a former non-notifier.
X	indicates that the handler is a non-notifier.

Violation Type	Description
262.A	GENERATORS - GENERAL
262.C	GENERATORS - PRE-TRANSPORT
265.B	TSD IS-GENERAL FACILITY STANDARDS
273.B	UNIVERSAL WASTE - SMALL QUANTITY HANDLERS
XXS	STATE STATUTE OR REGULATION

Evaluation Type	Type Description
CEI	COMPLIANCE EVALUATION INSPECTION ON-SITE

Enforcement Type	Enforcement Description
120	WRITTEN INFORMAL

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